



United States Environmental Protection Agency
Washington, DC 20460
Notice of Intent (NOI) for Discharges Incidental to the Normal Operation of a
Vessel under the NPDES Vessel General Permit

OMB No.
2040-0004

Submission of this completed Notice of Intent (NOI) constitutes notice that the entity in Section A intends to be authorized to discharge pollutants to waters of the United States, from the vessel identified in Section B, under EPA's Vessel General Permit (VGP). Submission of the NOI also constitutes notice that the party identified in Section B of this form has read, understands, and meets the eligibility conditions of Part 1 of the VGP; agrees to comply with all applicable terms and conditions of the VGP; and understands that continued authorization under the VGP is contingent on maintaining eligibility for coverage. In order to be granted coverage, all information required on this form must be completed. Please read and make sure you comply with all permit requirements.

NPDES Permit Tracking Number (EPA Use Only):

A. Vessel Owner/Operator Information

1. Name:
2. IRS Employer Information Number / Owner/Operator IMO Number:
3. Name of Certifying Official:
4. Mailing Address: a. Street:
b. City: c. State: d. Zip code:
e. Phone: f. Fax (Optional):
g. Email:

B. Vessel Information

1. Vessel Name:
2. Vessel ID/Registered Number/Vessel IMO Number:
3. Vessel Call Sign:
4. Flag State/Port of Registry:
5. Type of Vessel (select one)
☐ Commercial Fishing Vessel with Ballast Water ☒ Barge
☐ Medium Cruise Ship (100 to 499 passengers) ☐ Oil or Gas Tanker
☐ Large Cruise Ship (500+ passengers) ☐ Research Vessel
☐ Large Ferry (250+ passengers or more than 100 tons of cargo, e.g., cars, trucks, trains, or other land-based transportation.) ☐ Rescue Vessel
☐ Other:
6. Vessel Dimensions: a. Weight: gross tons
b. Length: feet
7. Ballast Water Capacity: gallons
8. Year Vessel Built:
9. a. Date of last dry-dock: b. Date of next scheduled/anticipated dry-dock:
10. Does the vessel have onboard treatment systems for any other waste stream covered by this permit (e.g. Advanced Wastewater Treatment System for Graywater, Oily Water Separator)?
☐ Yes ☒ No
If yes, please complete the following for each treatment system:
Waste Stream:
Treatment system type/design and manufacturer:
Treatment System Capacity:
11. Ballast Water:
a. How often is the ballast tank cleaned and sediment disposed of?
b. How and where do you typically dispose of ballast tank sediment?
c. Does vessel have an existing ballast water management plan? ☒ Yes ☐ No
12. a. Type of anti-fouling hull coating on the vessel:
b. When anti-fouling hull coating was last applied:

c. Describe hull husbandry practices, such as frequency of cleaning, method used, etc:

13. Does vessel currently have, or has vessel ever held, an NPDES permit, for any part, discharge, or operation of the vessel?

☐Yes ☒No

a. If yes, please provide the following:

Permit Number:

Dates of coverage:

b. Is this a transfer of ownership: ☐Yes ☒No

If Yes, provide date of transfer:

C. Vessel Voyage Information

1. Home Port/Most Frequented US Port:

2. US Ports Vessel Anticipates Visting During Permit Term:

3. Number of overnight berths: a. Passengers b. Crew

a. Maximum passenger capacity b. Crew

4. Does vessel travel beyond the US EEZ and more than 200 nm from any shore? ☐Yes ☒No

5. Is the vessel engaged in Pacific Nearshore Voyages? ☐Yes ☒No

D. Discharge Information (commercial fishing vessels and vessels < 79 feet in length need only select Ballast Water; if such vessels have no ballast water discharges they do not need coverage under this permit):

1. Select all applicable discharges vessel may generate:

☒Deck Washdown and Runoff

☐Bilgewater/Oily Water Separator Effluent

☒Ballast Water

☒Anti-fouling hull coatings

☐Aqueous Film Forming Foams (AFFF)

☐Boiler/Economizer Blowdown

☒Cathodic Protection

☐Chain Locker Effluent

☐Controllable Pitch Propeller Hydraulic Fluid and other Oil-to-Sea Interfaces

☐Distillation or Reverse Osmosis Brine

☐Elevator Pit Effluent

☐Firemain Systems

☐Freshwater layup

☐Gas Turbine Wash Water

☐Graywater

☐Motor Gasoline and Compensating Discharge

☐Non-Oily Machinery Wastewater

☐Refrigerator and Air Condensate Discharge

☐Seawater Cooling Overboard Discharge

☐Seawater Piping Biofouling Prevention

☐Small Boat Engine Wet Exhaust

☐Sonar Dome Discharge

☒Underwater Ship Husbandry

☐Welldeck discharges

☐Graywater Mixed with Sewage

☐Exhaust Gas Scrubber Washwater Discharge

2. Does Vessel ever engage in or have capacity to engage in industrial operations? ☐Yes ☒No

☐Seafood processing ☐Mining

☐Energy exploration ☐Other

3. Will the vessel be using experimental ballast water treatment system which discharges residual biocides or derivatives? ☐Yes ☒No

b. If yes, are biocide concentrations below those listed in Part 5.8 of the Permit? ☐Yes ☐No

c. List the biocide residuals or derivatives that may be discharged by the ballast water treatment system:

E. Certifier Name and Title

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information contained therein. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information contained is, to the best of my knowledge and belief, true, accurate, and complete. I have no personal knowledge that the information submitted is other than true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Print Name:

Title:

Signature:

Date:

Email:

NOI Preparer (Complete if NOI was prepared by someone other than the certifier)

Prepared By:

Organization:

Phone:

Ext:

Email:

Instructions for Completing the Notice of Intent for Discharges Incidental to the Normal Operation of a Vessel under the NPDES Vessel General Permit

NOI Submittal Deadlines/Discharge Authorization Dates		
Category	NOI Deadline	Discharge Authorization Date ¹
Vessels delivered to owner or operator on or before September 19, 2009	No later than September 19, 2009	2009 Coverage granted until September 19, 2009. If EPA receives an NOI before September 19, 2009, uninterrupted coverage continues.
New Owner/Operator of Vessel - transfer of ownership and/or operation of a vessel whose discharge is previously authorized under this permit	By date of transfer of ownership and/or operation	Date of transfer or date EPA receives NOI; whichever is later
New vessels delivered to owner or operator after September 19, 2009	30 days prior to discharge into waters subject to this permit	30 days after complete NOI is received by EPA
Existing vessels delivered to owner or operator after September 19, 2009 that were not previously authorized under this permit	30 days prior to discharge into waters subject to this permit	30 days after complete NOI is received by EPA

¹ Based on a review of your NOI or other information, EPA may delay the discharge authorization date for further review, or may deny coverage under this permit and require submission of an application for an individual NPDES permit, as detailed in Part 1.8. In these instances, EPA will notify you in writing of the delay or the request for submission of an individual NPDES permit application. If EPA requires an individual permit for an existing vessel previously covered by a general permit, EPA will allow the permittee a reasonable amount of time to obtain individual permit coverage before the general permit coverage terminates.

Who Must File a Notice of Intent with EPA?

Under the provisions of the Clean Water Act, as amended (33 U.S.C. 1251 et seq.), federal law prohibits discharges incidental to the normal operation of a vessel unless that discharge is covered under a National Pollutant Discharge Elimination System (NPDES) Permit. To obtain authorization under this permit, operators must meet the eligibility requirements found in Part 1.2 of the Permit and, if required by Part 1.5.1.1 of the Permit, submit a complete and accurate NOI according to the requirements in Part 10/Appendix E. NOIs must be signed in accordance with 40 CFR 122.22.

An owner/operator is required to submit an NOI if the vessel meets either of the following two criteria:

- The vessel is greater or equal to 300 gross tons,
Or
- The vessel has the capacity to hold or discharge more than 8 cubic meters (2113 gallons) of Ballast Water.

An operator of a vessel is not required to submit a NOI pursuant to Part 1.5.1.2 of the permit if the vessel is less than 300 tons and does not have the capacity to hold or discharge more than 8 cubic meters of ballast water. Owner/Operators that are not required to submit an NOI automatically receive coverage under this permit for their vessel and are authorized to discharge in accordance with the permit requirements.

If you have specific questions about the Vessels General Permit please send a detailed email to commercialvesselpermit@epa.gov for assistance.

Where to File the NOI Form

EPA encourages you to complete the NOI form electronically via the Internet. EPA's Vessels Electronic Notice of Intent System (eNOI) can be found at www.epa.gov/nepdes/vessels/enoi. Filing electronically is the fastest way to obtain permit coverage and help ensure that your NOI is complete. If you choose not to file electronically, you must send the NOI to one of the addresses listed below.

NOIs sent regular mail:

EPA Vessels Notice Processing Center (4203M)
USEPA
1200 Pennsylvania Avenue, NW
Washington, DC 20460

NOIs sent overnight/express mail:

EPA Vessels Notice Processing Center
EPA East Building, Rm. 7420
1201 Constitution Avenue, NW
Washington, DC 20004
202-564-9545

If you have questions about whether you need to file an NOI or questions about completing the form, refer to www.epa.gov/nepdes/vessels/enoi or contact the NOI center at 1-866-352-7755.

If you file a paper NOI, please submit the original with a signature in ink – Do Not Send Copies. Also, faxed copies will not be accepted.

Completing the NOI Form

To complete this form, type or print in uppercase letters in the appropriate areas only. Please make sure you complete all questions. Make sure you make a photocopy for your records before you send the completed original form to the address above. You may also use this paper form as a checklist for the information you will need when filing an NOI electronically via EPA's Vessels eNOI system.

NPDES Permit Tracking Number

1. This field is for EPA only and will be populated only by EPA Call Center or the eNOI system to document that system generated NOI tracking number once a paper NOI has been entered into the system.

Section A. Owner/Operator Information

1. Provide the full legal name of the person, firm, public organization, or other entity that is the owner/operator of the vessel described in this application.
2. Provide the Employer Identification Number (EIN from the Internal Revenue Service (IRS)), commonly referred to as your taxpayer ID number. If the owner/operator does not have an EIN, enter the International Maritime Organization (IMO) Registered Owner or Company (DOC) number. Please designate the type of ID number entered. If the owner/operator does not have an EIN or IMO Owner or company number, enter "NA" in the space provided.
3. Provide the name of the Certifying Official.
4. Provide the owner/operator's mailing address, telephone number, fax number (optional), and email address. Correspondence will be sent to this address.

Section B. Vessel Information

1. Provide the vessel's official or legal name, if applicable. If the vessel does not have a name, enter "NA" in the space provided.
2. Provide the vessel's identification, registered identification number, or IMO Ship number, as applicable. Indicate the type of number used to identify the vessel. If the vessel does not have an identification number, enter "NA" in the space provided.
3. Enter the vessel call sign, if applicable. If the vessel does not have a call sign, enter "NA" in the space provided.
4. Provide the flag state or port of registry. If the vessel does not have a flag state or port of registry, enter "NA" in the space provided.
5. Select the type of vessel by checking the appropriate box. Only the vessel types required to meet additional, vessel type-specific Permit standards are listed; all other vessel types should select "other" and enter the vessel type in the space provided.
- 6a. Enter the vessel weight in gross tons or gross registered tons and specify the units entered.
- 6b. Enter the vessel length in feet or meters, and specify the units entered.
7. Enter the ballast water capacity in gallons or m³ and specify the units entered.
8. Enter the 4-digit year that the vessel was built.
- 9a. Enter the date of last dry-dock: Month/Day/Year
- 9b. Enter the date of the next scheduled/anticipated dry-dock: Month/Day/Year
10. Indicate whether the vessel currently has any onboard treatment systems for any waste stream listed in the permit, such as an Advanced Wastewater Treatment System (AWTS) used for graywater or an Oily Water Separator (OWS) used for bilgewater. If yes, Describe the treatment system, including what waste stream it treats, the type and design of the system, and treatment capacity.
11. Provide information on the frequency and method of ballast tank sediment disposal and whether the vessel currently has a ballast water management plan.
12. Indicate whether the vessel has an anti-foulant coating applied to the hull, what type of coating, when it was last applied, and briefly describe the vessel hull husbandry practices, including frequency of hull cleaning and method usually used.
13. Indicate whether the vessel currently holds or has ever held a NPDES permit for any part, discharge, or operation of the vessel.
- 13a. If yes, include the Permit number and dates of permit coverage.
- 13b. If the vessel is covered under this General Permit and this NOI is being submitted for a transfer of ownership to continue coverage, check the appropriate box, and include the date of transfer: Month/Day/Year.

Section C. Vessel Voyage Information

1. Enter the vessel home port, or if it does not have a home port, enter the US port it most frequently visits.

2. Provide the name of each US port the vessel may visit during the Permit term. This list does not need to be exhaustive, but should be based on ports visited in the past and should be representative of the geographic area in which the vessel travels.
3. Provide the number of overnight berths for passengers and crew. Provide the maximum passenger capacity. Provide the crew capacity, that is, the number of crew needed for or normally used for operating the vessel.
4. Select the appropriate box to indicate if the vessel will travel in ocean waters seaward of the US EEZ and more than 200 nm from any shore. US EEZ is defined as the United State Exclusive Economic Zone. Some vessels may travel outside the US EEZ but not 200 nm from any shore. For example, vessels engaged in Pacific or Atlantic Nearshore Voyages that do not travel more than 200 nm from shore should select 'NO'.
5. Select the appropriate box if the vessel is engaged in Pacific Nearshore Voyages.

Section D. Discharge Information

1. From the list provided, select each applicable discharge type that your vessel may create. All discharges incidental to the normal operation of a vessel are included in permit coverage; you do not have to select each discharge type for your vessel to receive coverage for all discharges you may have; however, when completing the NOI, vessel owner/operators should list all discharge types they expect from their vessels. Many of these discharges are defined in Part 7-Appendix A of the Permit. You can find further information on discharges in Part 2.2 of the Permit and Part 4.4 of the Fact Sheet found at the Vessels website at www.epa.gov/nepdes/vessels. Commercial fishing vessels and vessels less than 79 feet in length will only receive permit coverage for ballast water discharges and do not need to check other types of discharges in the NOI.
2. Select the appropriate box if the vessel ever engaged in or has capacity to engage in industrial operation. If yes, select the appropriate box to indicate the type of industrial operation, such as seafood processing, energy exploration, mining, or other. If 'Other', indicate the type of industrial operation.
3. If the vessel will be using a ballast water treatment system, check the appropriate box and answer the questions related to the discharge of residual biocides. The requirements for vessels using a ballast water treatment system can be found in section 5.8 of the Permit.

Section E. Certification

Carefully read the certification statement. By completing and submitting the NOI, the owner/operator certifies that every applicable General permit requirement will be met. Enter certifier's printed name, title and email address. Sign and date the form. (CAUTION: An unsigned or undated NOI form will prevent the granting of permit coverage.) Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

For a corporation: by a responsible corporate officer, which means:

- (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions for the corporation, or
- (ii) the manager of one or more manufacturing, production, or operating facilities, provided the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

For a partnership or sole proprietorship: by a general partner or the proprietor; or

For a municipal, State, Federal, or other public facility: by either a principal executive or ranking elected official.

If the NOI was prepared by someone other than the certifier (for example, if the NOI was prepared by a consultant for the certifier's signature), include the name, organization, phone number and email address of the NOI preparer.

Paperwork Reduction Act Notice

Public reporting burden for this certification is estimated to average 0.64 hours per certification, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose to provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources;

complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding the burden estimate, any other aspect of the collection of information, or suggestions for improving this form, including any suggestions which may increase or reduce this burden to: Director, Office of Information Collection, Collection Services Division (2823), USEPA, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Include the OMB control number of this form on any correspondence. Do not send the completed NOI form to this address.